

The Inaugural  
**Buy-Side Regulation Asia**  
**1<sup>st</sup> December, 2016 | Hong Kong**

**Working Agenda**

<b>Day 1</b>	
<b>08:00</b>	<b>Registration and refreshments</b>
<b>08:50</b>	<b>Chairman's welcome</b> Peter Guy – Editor-in-chief, Regulation Asia
<b>09:00</b>	<b>Opening regulatory keynote address: TBA</b>
<b>09:15</b>	<b>Keynote address: TBA</b>
<b>09:30</b>	<b>REGULATION ISN'T JUST A SELL-SIDE CONCERN</b> <ul style="list-style-type: none"> <li>• As Asia's buy-side goes global, how should it adapt to the international regulatory landscape?</li> <li>• What can it learn from peers and the regulatory clampdown on the sell-side?</li> <li>• What systemic risk does the buy-side pose.</li> </ul>
<b>10:30</b>	<b>GLOBAL REGULATION - THE IMPACT ON ASIA'S BUY-SIDE</b> <ul style="list-style-type: none"> <li>• Extra-territoriality and convergence of regional and international rules</li> <li>• MIFID and its relevance to Asian research industry</li> <li>• Brexit and its impact on UCITS and AIFMD funds passport for Asian fund managers</li> </ul> <i>Speakers:</i> Eugenie Shen - Managing director, asset management, ASIFMA
<b>11:15</b>	<b>Networking break</b>
<b>11:45</b>	<b>INTEGRATING ASIA'S MARKETS - THE ROLE OF REGULATORS</b> <ul style="list-style-type: none"> <li>• Lessons from the HK-Shanghai stock connect and expectations from the HK-Shenzhen stock connect</li> <li>• HK-China mutual fund recognition; ASEAN CIS; APEC funds passport - what can regulators do to boost fund flow across borders?</li> <li>• Challenges and opportunities around stock connects and cross-border funds flows</li> </ul> <i>Speakers</i> Stewart Aldcroft – Managing director, Citi Markets & Securities Services
<b>12:30</b>	<b>Networking lunch</b>

13:30	<p><b>WORKSHOP:</b>  Emerging buy-side trends - electronic trading in fixed income  <i>Speakers</i>  Mushtaq Kapasi – Managing director and chief representative APAC, ICMA</p>
14:15	<p><b>WORKSHOP:</b>  Viability and relevance of alternative trading venues for Asian markets  <i>Speakers</i>  John Fildes – CEO, Chi-X Australia  Chuck Chon – CEO, SBI Japannext</p>
15:00	<p><b>WORKSHOP:</b>  Inverse and leveraged ETF's - regulatory changes and international lessons</p>
15:45	<p><b>Networking break</b></p>
16:15	<p><b>COLLATERAL MANAGEMENT FOR THE BUY-SIDE</b></p> <ul style="list-style-type: none"> <li>• Availability of collateral and liquidity issues</li> <li>• Liquidity risk management - applying global rules and best practices to Asia</li> <li>• Expectations for margining rules and their application to uncleared derivatives</li> </ul> <p><i>Speakers</i>  Theo Vosnidis – head of operational risk, Vanguard Australia</p>
16:55	<p>Closing remarks</p>
17:00	<p><b>Summit closes</b></p>